# What Do Genitive Subjects Tell Us About Adnominal Clauses?

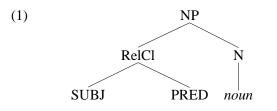
Peter Sells

Linguistics, SOAS

Kobe Conference on Typology: English, Japanese, and Other Languages 25 October 2008

## 1. Introduction

There are various theoretical issues which adnominal clauses – here, primarily relative clauses – of a broad swath across Asia directly relate to.



- (2) a. In some languages, the subject of the relative clause is Genitive and the relative clause is necessarily embedded inside NP. Is the Genitive licensed by the head noun, *from outside* the relative clause?
  - b. In some languages, the relative clause predicate does not show any agreement yet agreement appears on the head noun. Is this coincidental?
  - c. What is the syntactic category of the relative clause CP, or something different and/or something smaller? (Many of the relative clauses show some signs of nominalisation.) Cf. Hale (2002, 112): "It is probably incorrect to say of Dagur [Mongolian] that the verb of the relative clause is not in some sense nominalized." Is it *this* that is responsible for the Genitive?

It is possible that a great majority of embedded or adnominal clauses are nominalised (Shibatani 2008).

There are remarks in the literature (especially Krause 2001, Miyagawa 2008a, 2008b) that relative clauses with Genitive subjects are structurally 'smaller' than the canonical CP associated with (embedded) clauses. Of the types below, only the first one shows possible evidence for reduced clausal structure in the adnominal clause. The first two types involve clausal structure that is nominalised, and Japanese presents an interesting borderline case.

- (3) a. Languages with Subjects which are Genitive inside adnominal clauses (more precisely, which take the same form that a possessor would take) (Altaic)
  - b. Languages with Subjects which are Genitive but which are Genitive independent of being embedded in a larger NP (Turkish)
  - c. Languages with Subjects which are not Genitive but which are Embedded (Japanese)
  - d. Languages with Genitives which are not Subjects but which are Embedded ('SUBJ' in (1) is a Genitive but not a subject) (Toba Batak)

# 2. Possessive Relative Clauses

To begin, some core data, from Altai (cited from Ackerman and Nikolaeva ms):

- (4) a. [bis-tin kel-gen] d'oly-bys [we-GEN come-PART] road-1pl.poss 'the road on which we came'
  - b. [tut-kan] balyg-ybys [catch-PART] fish-1pl.poss 'the fish we caught'
  - bis-tin balyg-ybys
     we-GEN fish-1pl.poss
     'our fish'

Ackerman and Nikolaeva (ms) present a significant survey of languages from Siberia to Turkey in which there are possessive subjects of relative clauses. They argue that a sizeable and essentially geographically contiguous group of languages overlay the Possessive Construction on the Relative Clause construction.

"... the general tendency is such that the regularity of possessive relatives in Turkic seems to decrease from East (North East) to West (South West). In the most Northeastern language, Yakut, possessive relative construction is the only available relative clause construction. In other Northeastern languages (Shor, Altai, Tuva, Khakas), as well as in Uzbek (Southeastern group) it competes with mc inflected relatives. Among the Northwestern Turkic languages, possessive relatives only exist in Kazakh and Kirghiz which also have mc inflected relatives, while in the Southwestern group possessive relatives are only well represented in Turkmen and seem to be very marginal otherwise. Finally, the most Western Turkic languages, including the modern Turkish, follow the mc inflected pattern. The predominance of possessive relatives in the North and East of the Turkic language area, especially in Yakut, may be due to Mongolian influence."

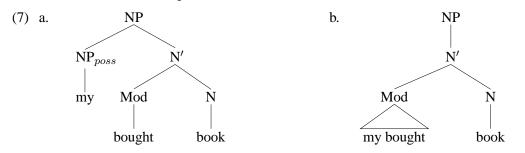
- (5) Possessive Relatives:
  - a. The subject of the relative clause is necessarily in the same case as an ordinary nominal possessor (often, but not always, Genitive), and;
  - b. The head noun bears possessive agreement morphology covarying with the subject of the relative clause, necessarily exactly as an ordinary possessor would be cross-referenced.

This type of relative clause gives us a starting point for the rest of the discussion. Possessive Relatives are analyzed in HPSG by Ackerman et al. (2004):

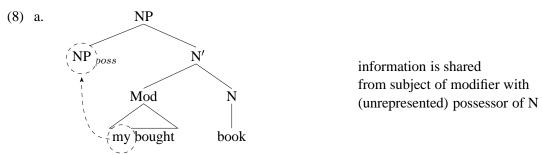
- (6) a. the predicate of the relative clause is an adjectival or participle form of a verb specified to modify a noun
  - b. that predicate's subject is structure-shared with the possessor of the modified noun
  - c. the semantic relation between the possessor and the possessee  $\mathcal{R}$  is given by the modifying clause
  - d. informally, *my bought book* means that *I* stand in some relation  $\mathcal{R}$  to *book* and in this case the relation is that 'I bought it'.

Altai

The analysis they give actually gives the structure in (7)a rather than what might be the fully 'grammaticalised' form (Nikolaeva, p.c.) in (7)b.



Now what we really need is (8), where the subject has some properties of the possessor even though it is not the structural possessor. Information-based theories like LFG and HPSG provide a framework in which to do this.



b. Syntactically, the head noun *has* a possessor, but it is not represented structurally: the grammatical information associated with it comes part from the possessor agreement on the head noun and part from *structure-sharing* ('information flow') up from the subject of the modifying clause.

What (8) represents is analogous to 'Backward Raising', structures which look like Subject-Subject Raising except that it is the lower, not the higher position which is filled. Backward Raising has been particularly carefully documented by Polinsky and Potsdam (2002a, 2002b, 2006), for Circassian (NW Caucasian) languages, Tsez, and Malagasy, among others.

- (9) In all the cases surveyed here, the information flow is either:
  - a. local, between a head and its dependents, or
  - b. upward, from an embedded constituent to its higher grammatical context (a piece of lower structure says something about its containing syntactic context)

## 3. Variations Across Asia

The Altai facts above make it look like the agreement has 'moved' from the predicate of the modifying clause to the head noun, and that this then correlates with the subject of the modifying clause having Genitive case.

### 3.1. NE Asia

Dagur and (standard) Mongolian do not enforce the properties above. The subject of the relative clause may be Genitive, or some other case, and the head noun may or may not bear possessive agreement:

- (10) a. [mini au-sen] mer<sup>y</sup>-min<sup>y</sup> [I.GEN see-PART] horse-1sg.poss 'the horse I bought' (Hale 2002)
  - b. [nami al-sen] taul-min [I.ACC kill-PART] rabbit-1sg.poss 'the rabbit I killed'
  - c. [fi namde uk-sen] biteg-fin<sup>y</sup> [you.NOM I.DAT give-PART] book-2sg.poss 'the book you gave to me'

Hence, the case on the subject of the modifying clause can be determined independently of the possessor agreement on the head noun (in other words, the agreement determines the agreement features of the subject, but not its case).

Standard Mongolian does not have the possessive agreement on the head noun:

(11)		[jerunhiilegch/-in/-ees bich-sen] zahia [president.NOM/-GEN/-ABL write-PART] letter	Mongolian
	'the letter that the president wrote' (Guntsetseg et al. 2008)		
	a.	[miniy üz-sen ] oxin	
		[I.GEN see-PART] girl	
		'a girl I saw' (Binnick 1979)	
In Sak	kha (N	Yakut, Siberia), the possessive agreement is only in non-subject relatives (Korn	nfilt 2008):

- (12) a. [üüt ih-iex-teex] ït [milk drink-FUT-MOD] dog 'the dog which should drink the milk'
  - b. [ït ih-iex-teex] üüt-e [dog drink-FUT-MOD] milk-3sg.poss 'the milk which the dog should drink'

The same pattern is found in Uzbek (Sjoberg 1963, 101):

- (13) a. [men yoz-gan] kitəb-im [I write-PART] book-1sg.POSS 'the book I wrote'
  - b. [kor-gan] qiz
    [see-PART] girl
    'the girl who sees (something)'

In the other direction, Evenki shows double marking (Ackerman and Nikolaeva ms):

Dagur

Sakha

Uzbek

- (14) a. [bi o-na-v] d'av-iv [I make-PART-1sg] boat-1sg.poss 'the boat I made'
  - b. [içede-ne-l-in] beje-ŋi-l-in [see-PART-PL-3sg] man-AL-PL-3sg.poss 'the men she saw'

Also in Nganasan, Enets, Yukaghir. This shows that having agreement on the predicate or on the noun is not a strict choice that a language must make. Most likely, non-nominative case on a subject shows that a clause is somewhat non-canonical, e.g., embedded, and may also be a clue that the clause is nominalised. In addition, agreement on the head noun may signify that the head noun has a nominal or nominalised modifier.

#### 3.2. Subordinate Clauses in Turkish

One type of relative clause in Turkish is formed with a clause nominalized by the famous *DIK* form, in construction with a noun. *DIK* clauses necessarily have overt subjects, either Genitive or Nominative, the choice depending on a variety of factors (basically, relative and complement clauses have Genitive subjects; adjunct clauses may have Nominative subjects). The nominalised predicate also bears possessive agreement, with the subject of the *DIK* clause. There is no agreement on the head noun.

In all relevant respects, the internal morphosyntax of *DIK* complement clauses is just the same as in relative clauses. Essentially for this reason, Kornfilt has argued (2004, 2006) that the relation between a Genitive subject in a *DIK* clause and the possessively agreeing predicate of the clause is a 'local' relation – one determined internal to the clause, which may be a full CP or equivalent. Following Haig (1998), I gloss *DIK* in adnominal clauses as marking the 'possessive participle' (PP):

(15) a.	[ben-im aile-m-i	terket-tiğ-im]	söylenti-si	Turkish
[I-GEN family-1sg.poss-ACC abandon-PP-1sg] rumor-CMPDM				
'the rumor that I abandoned my family' (Kornfilt 2006, 166)				
b.	[ben aile-m-i	terket-ti-m]	söylenti-si	
	[I.NOM family-1sg.poss-A	ACC abandon-PAST-1	sg.poss] rumor-CMPDM	
	'the rumor that I abandoned my family'			
(16) a.	[şehir-e git-tiğ-imiz]	duy-ul-du		
	[town-DAT go-PP-1pl.pss	] hear-PASS-PAST		
	'It was heard that we wer	nt to town.' (Haig 19	98, 97	
b.	[şehir-e git-tiğ-imiz]	otobüs		
	[town-DAT go-PP-1pl.pss	] bus		
	'the bus (by which) we w	vent to town'		
To a first a	pproximation, subject relation	tives in Turkish take	the (y)An participle form, whic	h I gloss as
the Free Participle, again following Haig:				

(17) [ben-i gör-en] adam [I-ACC see-FP] man 'the man who saw me'

The FP is a dedicated participle use; it has no use as a general marker of clausal subordination, and does not inflect for agreement.

In the perspective I want to take here, it is useful to turn the usually received wisdom about Turkish relative clauses around, following Haig (1998, 164):

Evenki

- (18) Turkish relative clauses
  - a. Subjects of adnominal clauses that are low in 'control' (e.g., animacy) and 'individuation' are Nominative; otherwise, subjects are Genitive.
  - b. If the subject of an adnominal clause is Genitive, the predicate bears the *DIK* nominaliser and possessive agreement with the Genitive subject.
  - c. If the adnominal clause has no Genitive subject, the Free Participle form -(y)An is used.

In other words, in *DIK* clauses, it is not so much that there is a nominaliser or possessive agreement, and this determines Genitive case on the subject, but rather, there are conditions which determine that a subject should be Genitive, and *DIK* is necessary to license the Genitive.\* Consider the examples in (19) from Göksel and Kerslake (1995, 444–5):

- (19) a. [ön-ün-den köpru geç-en] ev-ler [front-3sg.poss-ABL bridge be.situated-FP] house-PL 'the houses in front of which a bridge is situated'
  - b. [ön-ün-den köpru-nün geç-tiğ-i] ev-ler [front-3sg.poss-ABL bridge-GEN be.situated-PP-3sg.poss] house-PL 'the houses in front of which the bridge is situated'

The FP is used even if there is an overt subject in the relative clause, as long as it is not Genitive. Such (Nominative) subjects are termed 'categorial subjects' in Göksel and Kerslake (1995) and 'semi-subjects' in Haig (1998). They are low in CONTROL, VOLITION, and INDIVIDUATION:

- (20) a. ?[bir doktor otur-an] ev[a doctor live-FP] house'house in which a doctor lives' (Haig 1998, 180)
  - b. [bir köpek bul-un-an] ev [a dog find-PASS-FP] house 'house in which there is a dog'
- (21) a. ?[dal-ın-dan çocuk in-en] ağaç [branch-3sg.poss-ABL child descend-FP tree 'tree from whose branch child(ren) descended'
  - b. [dal-ın-dan çocuk düş-en] ağaç [branch-3sg.poss-ABL child fall-FP tree 'tree from whose branch child(ren) fell'

In other words, semantic and discourse properties determine whether an embedded subject will be Genitive; but once we have a Genitive subject, we can predict several other properties.

<sup>\*</sup>The reverse implication does not hold – some *DIK* clauses have Nominative subjects, not Genitive subjects.

### 3.3. Adnominal Clauses in Japanese

So-called '*Ga/No* Conversion' in Japanese has been the subject of a great deal of research (e.g., Ueda 1966, 38ff., Harada 1971, Bedell 1972, Watanabe 1996, Hiraiwa 2002, Miyagawa 2008a, 2008b, among others). The form *no* canonically marks possessors, though in fact it marks a much wider range of grammatical forms and relationships (e.g., Bedell 1972), and is somewhat analogous to the Mandarin Chinese linker *de*.

On the assumption that *no* in these constructions is marking Genitive, certain adnominal clauses in Japanese appear to have Genitive subjects, and the fact that they must be adnominal had led to analyses where there is some implicit 'agreement' between the modified N (or D in contemporary literature) and the clause's subject.

Japanese

- (22) a. [Taroo-ga katta] hon [Taroo-GA buy.PAST] book 'the book that Taroo bought'
  - b. [Taroo-no katta] hon [Taroo-*NO* buy.PAST] book 'the book that Taroo bought'
- (23) a. [kayoobi-no zyuu-zi-ga tugoo-no yoi] hito
   [Tuesday-*NO* 10-hour-*GA* convenience-*NO* good.PRES] person
   'the person(s) for whom Tuesday at 10 is convenient' (Kanazawa 1990)
  - b. [uguisu-no kite nak-u] ume-no ki [nightingale-*NO* come.CONJ sing-PRES] plum-*NO* tree 'the plum tree to which nightingales come to sing' (Bedell 1972)

However, apart from the fact that clauses with *no*-marked subjects are typically embedded inside larger NPs, there is no evidence that *no* marks Genitive case – it simply marks embedded subjects. In fact, there is scant non-theory-internal evidence that Japanese has any case features at all, such as Nominative, Accusative or Genitive (see Spencer and Otoguro 2005). That is to say, there are no constructions in the language which refer to case features; and a language that does not have Genitive case cannot have Genitive subjects. Both forms *ga* and *no* are subject markers historically: "Throughout the history of Japanese the two particles *ga* and *no* have shared functions with each other, and the actual distribution of the functions today varies from dialect to dialect" (Martin 1975, 662).

As far as I am aware, there is no impediment to taking what would be a very traditional analysis – that *no* marks the subject of an embedded clause that is somehow subordinate to a nominal – crucially with no need or motivation for mentioning Genitive case.

Japanese is also famous as it typically has no formal marker of a relative or adnominal clause (roughly, a regular finite clause is simply put in front of a noun; see Matsumoto 1997 or Comrie 1996 for potential consequences of this). However, there is a grammaticised complementiser *toyuu* which can also appear in adnominal (but not relative) clauses (see Matsumoto 1998), and which also allows *Ga/No* Conversion. In many examples, *toyuu* is formally optional, though it may bring an additional shade of meaning. There is little controversy in Japanese linguistics that *toyuu* is a canonical complementiser, a C heading a CP.

(24) a. [John-no nihon-ni itta toyuu] koto-wa uso-da [John-*NO* Japan-DAT go.PAST COMP] 'fact'-TOP lie-COP.PRES 'It is a lie that John went to Japan.' (Watanabe 1972)

- b. [mibun-ni yotte kotoba-no tigau toyuu] syuukan-ga mada aru [social.status-according.to word-*NO* differ.PRES COMP] custom-*GA* still exist.PRES toyuu hookoku-ga atta ...
  '(There was a report that) it is still customary to use different levels of speech depending on one's social status.' (Watanabe 1972)
- c. [taihuu-no zyooriku sita toyuu] nyuusu-o kiita
  [typhoon-*NO* land.PAST COMP] news-ACC hear.PAST
  'I heard the news that the typhoon had struck.' (Watanabe 1972)

Interestingly, such examples show that the conditions for *no* are not a structural, but are functional, and do not pay attention to the syntactic category of the adnominal clause. In an attempt to provide a local (clause-internal) account of *no*-marked subjects, Hiraiwa (2002) proposes that there is a special adnominal form of the predicate in Japanese, and ties the appearance of *no*-marked subjects to this. Historically, there is ample evidence for a morphosyntactically particular form of the predicate in Japanese, used in adnominal constructions – though not exclusively so; synchronically, this grammatical property remains in exactly one form of one predicate, the present tense copula. Otherwise Japanese simply uses any regular tense-inflected form as a prenominal form.

However, while it is true that *no*-marked subjects only appear in adnominal clauses, it is not true that they only appear in local clauses whose predicate is in the attributive form. The prediction of the local-agreement account is that *no*-marked subjects should not appear in clauses whose predicate is followed by *toyuu*, for the predicate is in its regular form in such clauses, not its attributive form. Hiraiwa (2002) claims that the prediction is borne out; but while it is perhaps true for his own speech, the literature shows several examples, and my informal consultations with native speakers reveal that at least some examples are grammatical.

- (25) a. [kankei-no aru toyuu] koto-ga [relation-*NO* be.PRES COMP] fact-*GA* 'the fact that there is a relationship ... '
  - b. [wakamiya-kun-ni sonna koto-no atta toyuu] hanasi-wa watasi-wa [Wakamiya-DIM-DAT such.a thing-*NO* happen.PAST COMP] story-FOC I-TOP siranai-yo know.NEG-LEVEL
    'I didn't know the story that such a thing had happened to Wakamiya.'
  - c. [syoorai daizisin-no okiru toyuu] kanoosei-o kangae-ni ire-te
    [future earthquake-*NO* happen.PRES COMP] possibility-ACC thought-DAT put-CONJ tosi-keikaku-o tateru hituyoo-ga aru
    city-plan-ACC have.PRES necessity be.PRES
    'It is necessary to have a city plan, thinking of the possibility that there might be an earthquake in the future.'

These facts have important syntactic consequences. They show that *no*-marked subject are not triggered by any overt morphosyntactic property of their containing clause, and they show that *no*-marked subjects may appear in clauses that are structurally CPs.

Functionally, what is the difference between an adnominal clause with *toyuu* and one without?– None. They are both 'embedded clauses' which are subordinate to a noun inside NP. And what *no* marks is that it is the subject of such a clause.

### 4. Red Herrings in the Pacific: Toba Batak

Krause (2001) cites an example from Tuller (1984) suggesting that relative clauses in Toba Batak, an Austronesian language, have Genitive subjects. However, this must be a misanalysis. The Genitive is a relic case in many Austronesian language, often used to mark non-subject direct arguments, and perhaps having a wider distribution in embedded clauses. "This genitive marking pattern is how main clauses in Tagalog and other northern languages still work, but in the south it has gone from main clauses, and is confined to subordinate clauses on the 'fringe' languages: Batak (see also Woollams' grammar of Karo Batak), Nias, Simalur, Tukang Besi." (Mark Donohue, p.c.)

Most characteristic of Austronesian languages is the voice system, which promotes one argument of a predicate to subject without demoting any other to obliques. And famously, extraction constructions are restricted to applying only to subjects – as documented for Malagasy by Keenan (1976) and essentially forming the cornerstone of the Keenan-Comrie hierarchy (Keenan and Comrie 1977).

From this, it follows that any overt argument inside a relative clause in Toba Batak – as in almost every Austronesian language – cannot be a subject, for it is precisely the subject that is relativised. In the example above, the Genitive marked argument is an Actor, but an object – for the voice form di determines the Undergoer argument as subject, and this is what is relativised.

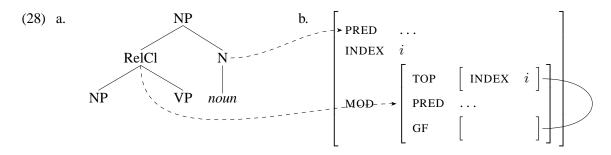
(26) Basic order is VOSX;*mang* marks Actor Voice (Actor is subject);*di* marks Patient Voice (Patient is subject):

Toba Batak

- a. Man-ongos si Torus <u>ahu</u> tu imana.
  AV-send PN Torus I to (s)he
  'I sent Torus to her.'
- b. Di-tongos imana <u>surat</u> tu si Ria. PV-send (s)he letter to PN Ria 'A letter, she sent to Ria.'
- (27) a. Di-boto si John bahasa [di-tongos (\*ni) si Torus <u>surat</u> tu si Ria].
   PV-know PN John COMP [PV-send (\*GEN) PN Torus letter to PN Ria]
   'John knows that Torus sent the letter to Ria.'
  - b. Huida surat na [di-tongos ni si Torus i \_\_\_\_tu si Ria]. I-saw letter LNK [PV-send GEN PN Torus Det to PN Ria] 'I saw the letter that Torus sent to Ria.'

Hence, we see a somewhat familiar scenario - if Genitive marks anything, it marks an argument of a clause that is embedded, though in this language that argument is not a subject, though it is a direct argument of the verb.

# 5. Analysis in Lexical-Functional Grammar



An embedded clause is a COMP or a MOD (officially "ADJ" in LFG). A relative clause has an internal TOP – effectively, the relative operator – identified with a clause-internal GF, and coindexed with the head noun (Bresnan 2001, Dalrymple 2001).

### 5.1. Case Markers

On a lexicalist account, case markers provide information about the context in which the phrase hosting them appears.

(29) a. Ergative case (Nordlinger 1998):

((SUBJ<sup> $\uparrow$ </sup>) OBJ) (= 'the clause in which I am subject has an object') ( $\uparrow$  CASE) = ERG (= 'my case is ergative')

b. Butt and King (2004):

Urdu ne: $(\uparrow CASE) = ERG$ ANDOR $(SUBJ\uparrow)$  $(\uparrow_{sem-str}VOLITION) = +$  $(SUBJ\uparrow) OBJ$  $(EXT-ARG\uparrow_{arg-str})$  $(SUBJ\uparrow) VFORM=PERF$ 

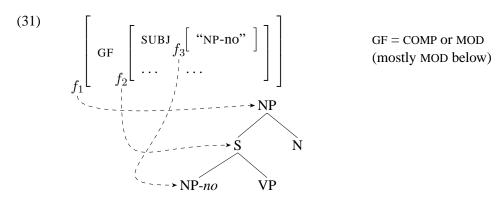
Ergative marks an external argument with volition or the subject of a transitive perfective clause.

Japanese case markers (Sells 1995, 2000):

a.	<i>-ga</i> (SUBJ ↑)	('I am subject of my clause')
b.	- <i>no</i>	
	(SUBJ ↑)	('I am subject of my clause')
	(GF SUBJ $\uparrow$ )	('the clause of which I am subject is subordinate in a larger structure')
	CAT((GF SUBJ $\uparrow$ ), N)	('the category of that larger structure is N')
		(SUBJ ↑) bno (SUBJ ↑) (GF SUBJ ↑)

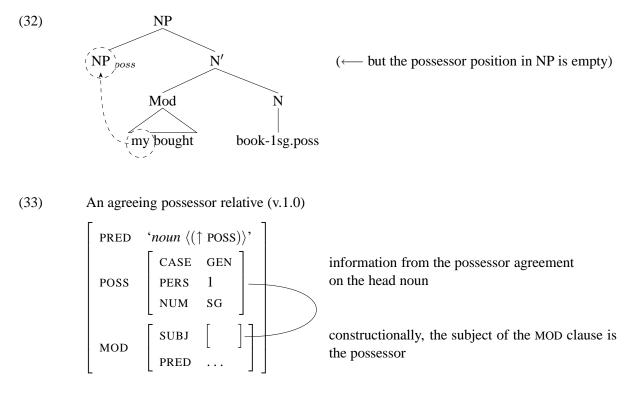
Due to the second line of annotations, this form cannot mark a subject in a non-embedded clause, and due to the last line, it cannot mark a subject not embedded inside the structure of a containing N(P).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup>Definition from Kaplan (1995): CAT(f, c) is true iff f is an f-structure, c is a category, and there exists a node n in  $\phi^{-1}(f)$  such that  $\lambda(n) = c$ .



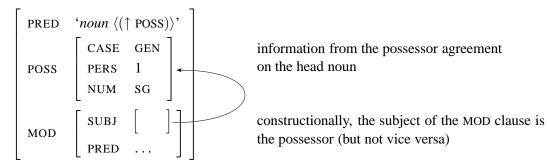
No grammatical information is passed upwards (using '⊑') from the *no*-marked subject.

#### 5.2. Altaic Modifiers

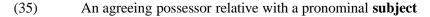


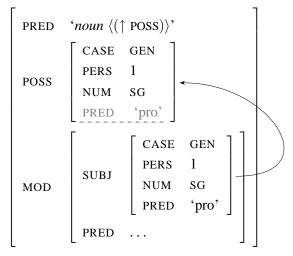
This structure needs a pronoun in order to be well-formed (each GF needs a PRED, see below); as the structure is given, filling either of the NP positions in the tree would suffice.

(34) An agreeing possessor relative (v.2.0)



Structure-sharing by Subsumption ( $\sqsubseteq$ ) can be used to make information flow up (or down), but not both ways (see Sells 2006) – as in Forward or Backward Control or Raising. Change from (33) to (34) would involve '=' becoming ' $\sqsubseteq$ '.





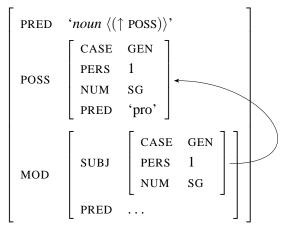
information from the possessor agreement

constructionally, the subject of the MOD clause is the possessor

The overall structure is well-formed as the empty possessor position gets a PRED value.

(36)

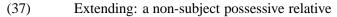
An agreeing possessor relative with a pronominal **possessor** 

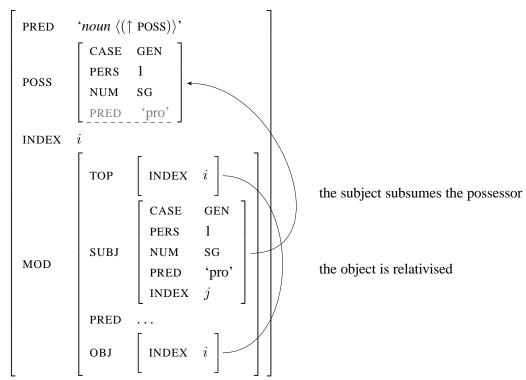


information from the possessor agreement

constructionally, the subject of the MOD clause is the possessor

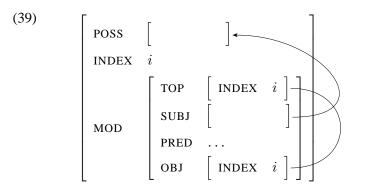
The overall structure is not well-formed as the empty possessor position does not get a PRED value.





(38) Altaic-participle  $(\uparrow OBJ) = (\uparrow TOP)$   $(\uparrow TOP INDEX) = ((MOD \uparrow) INDEX)$  $(\uparrow SUBJ) \sqsubseteq ((MOD \uparrow) POSS)$ 

('the object of the clause is the topic') ('the index of the topic is the index of the modified noun') ('the subject subsumes the possessor')



### 5.3. Japanese and Turkish

(40)	Japanese no	
	(SUBJ ↑)	('I am subject of my clause')
	(GF SUBJ $\uparrow$ )	('the clause of which I am subject is subordinate in a larger structure')
	CAT((GF SUBJ $\uparrow$ ), N)	('the category of that larger structure is N')

(41) Japanese Noun Complement Clause

- (42) [[kankei-no aru (toyuu)]<sub>2</sub> koto]]<sub>1</sub>-ga [[relation-*NO* be.PRES (COMP)] fact]]<sub>1</sub>-GA'the fact that there is a relationship ... '
- (43) Japanese toyuu (COMP ↑)

('my structure is a COMP in a larger structure')

('my structure maps to the category N(P)')

(44)	Turkish Gen case				
	$(\uparrow CASE) =_c GEN$	('I need to be assigned Genitive case')			
	(SUBJ ↑)	('I am the subject of my clause')			
	(GF SUBJ $\uparrow$ )	('the clause of which I am subject is subordinate in a larger structure')			
	$\uparrow \sqsubseteq$ ((MOD SUBJ $\uparrow$ ) POSS) ('information from me passes up to the POSS argument				
	of the modified noun')				
(45)	Turkish Possessive Po	urticiple			
	$(\uparrow$ SUBJ CASE) = GEN	$\vee$ NOM ('the subject of my clause is Genitive or Nominative')			

# 6. Back to Japanese

 $CAT(\uparrow, N)$ 

Miyagawa (2008b) proposes that (46)a allows either an "eventive" reading – the shirt got stained – or a "property" reading – the shirt had a stain, while (46)b only allows the property reading:

- (46) a. simi-ga tuita syatu-o kiteiruHe's wearing the shirt that got stained.
  - b. simi-no tuita syatu-o kiteiru He's wearing the shirt that had a stain.
- (47) brings out the 'property' interpretation:
- (47) taroo-wa [simi-no tuita syatu]-wa kiree-ni natta kedo kinaiTaroo won't wear the stained shirt even though it has been cleaned.

And (48) brings out the 'eventive' interpretation:

(48) [totuzen simi-ga/\*no tuita syatu]-o misete kudasai Please show me the shirt that suddenly got stained. Marking the embedded subject with *no* is considered by many researchers to 'subdue' the agentivity of the subject, relative to marking with *ga*, and a modifying clause with a *no*-marked subject does seem to have a more (semantically) "attributive" function. Can this be represented grammatically?

Whatever the nature of this attributive use, it does not seem to correlate with the clause being 'smaller' in any structural sense (as opposed to semantic or functional properties that may vary). In addition to the *toyuu* examples above, the following examples, all from Martin (1975), show that the larger context/use of the modifying clause allows or prevents a *no*-marked subject:

- (49) a. ame-ga huranai yoo-da rain-*GA* fall-NEG seem-COP 'It looks like it will not rain.'
  - b. \*ame-no huranai yoo-da rain-*NO* fall-NEG seem-COP
    'It looks like it will not rain.'
  - c. ame-ga huranai yoo-na hi rain-GA fall-NEG seem-COP day 'a day when it seems it will not rain'
  - d. ame-no huranai yoo-na hi rain-*NO* fall-NEG seem-COP day 'a day when it seems it will not rain'
- (50) a. gokai-ga nai yoo-ni ari-tai mono-da misunderstanding-*GA* not.be seem-DAT be-want thing-COP 'We want it kept so that there are no misunderstandings.'
  - b. gokai-no nai yoo-ni aritai mono-da misunderstanding-*NO* not.be seem-DAT be-want thing-COP 'We want it kept so that there are no misunderstandings.'
- (51) a. ki-ga nasa-soo-da feeling-*GA* not-seem-COP 'He is uninterested.'
  - b. \*ki-no nasa-soo-da feeling-*NO* not-seem-COP 'He is uninterested.'
  - c. ki-ga nasa-soo-na kao-o siteiru feeling-*GA* not-seem-COP face-ACC do 'He looks uninterested.'
  - d. ki-no nasa-soo-na kao-o siteiru feeling-*NO* not-seem-COP face-ACC do 'He looks uninterested.'
  - e. ki-ga nasa-soo-ni, kare ... feeling-*GA* not-seem-DAT, he 'looking uninterested, he ... '

- f. ki-no nasa-soo-ni, kare ... feeling-*NO* not-seem-DAT, he 'looking uninterested, he ... '
- g. ki-ga nasa-soo-nara feeling-*GA* not-seem-if 'if he is uninterested, ... '
- h. \*ki-no nasa-soo-nara feeling-*NO* not-seem-if 'if he is uninterested, ... '

## References

- Ackerman, Farrell, and Irina Nikolaeva. ms. Comparative Grammar and Grammatical Theory: A Construction-Theoretic Treatment of Morphosyntax. Stanford, CSLI Publications, to appear.
- Ackerman, Farrell, Irina Nikolaeva, and Rob Malouf. 2004. Possessive relatives and cooperating constructions. Paper presented at the 11th International Conference on Head-Driven Phrase Structure Grammar, Leuven.
- Bedell, George. 1972. On No. In George Bedell (ed.), Studies in East Asian Syntax. UCLA Working Papers in Linguistics, 1–20.
- Binnick, Robert. 1979. *Modern Mongolian. A transformational syntax*. Toronto, University of Toronto Press.
- Bresnan, Joan. 2001. Lexical-Functional Syntax. Oxford, Blackwell Publishing.
- Butt, Miriam, and Tracy H. King. 2004. Case systems: Beyond structural distinctions. In Ellen Brandner and Heike Zinsmeister (eds.), *New Perspectives on Case Theory*. Stanford, CSLI Publications, 53–87.
- Comrie, Bernard. 1996. The unity of noun-modifying clauses in Asian languages. In Pan-Asiatic Linguistics: Proceedings of the Fourth International Symposium on Languages and Linguistics, January 8-10, 1996. Salaya, Thailand, Institute of Language and Culture for Rural Development, Mahidol University at Salaya, 1077–1088.
- Dalrymple, Mary. 2001. Lexical Functional Grammar (Syntax and Semantics 34). New York, Academic Press.
- Göksel, Aslı, and Celia Kerslake. 1995. Turkish: A Comprehensive Grammar. London/New York, Routledge.
- Guntsetseg, Dolgor, Klaus von Heusinger, and Udo Klein. 2008. Non-canonical case in Mongolian. Handout, Konstanz workshop on Non-Canonical Case, May 2008.
- Haig, Geoffrey. 1998. Relative Constructions in Turkish. Wiesbaden, Harrassowitz.
- Hale, Ken. 2002. On the Dagur object relative: Some comparative notes. *Journal of East Asian Linguistics* 11, 109–122.

- Harada, Shin-Ichi. 1971. Ga-No conversion and idiolectal variations in Japanese. *Gengo Kenkyuu* 60, 25–38.
- Hiraiwa, Ken. 2002. Nominative-Genitive conversion revisited. In Noriko Akatsuka (ed.), *Japan-ese/Korean Linguistics*, Vol. 10. Stanford, CSLI Publications, 546–559.
- Kanazawa, Makoto. 1990. Ga-No conversion in HPSG. Ms. Stanford University.
- Kaplan, Ronald M. 1995. Three seductions of computational psycholinguistics. In M. Dalrymple et al. (ed.), *Formal Issues in Lexical-Functional Grammar*. Stanford, CSLI Publications, 339–367.
- Keenan, Edward L. 1976. Remarkable subjects in Malagasy. In Charles N. Li (ed.), *Subject and Topic*. New York, Academic Press, 247–301.
- Keenan, Edward L., and Bernard Comrie. 1977. Noun phrase accessibility and universal grammar. *Linguistic Inquiry* 8, 63–99.
- Kornfilt, Jaklin. 2004. Agreement and its placement in Turkic non-subject relative clauses. In Guglielmo Cinque and Richard Kayne (eds.), *Handbook of Comparative Syntax*. Oxford/New York, Oxford University Press, 513–541.
- Kornfilt, Jaklin. 2006. Agreement: The (unique and local) syntactic and morphological licenser of subject Case. In Joao Costa and Maria Cristina Figueiredo Silva (eds.), *Studies on Agreement*. Amsterdam/Philadelphia, John Benjamins, 141–171.
- Kornfilt, Jaklin. 2008. Locality, agreement and subject case in Turkish and beyond. Handout, talk at Franfurt University, 5/2/08.
- Krause, Cornelia. 2001. On Reduced Relatives with Genitive Subjects. Doctoral dissertation, MIT.
- Martin, Samuel. 1975. A Reference Grammar of Japanese. New Haven, Yale University Press.
- Matsumoto, Yoshiko. 1997. Noun-Modifying Constructions in Japanese: A Frame Semantic Approach. Amsterdam/Philadelphia, John Benjamins.
- Matsumoto, Yoshiko. 1998. The complementizer *toyuu* in Japanese. In Noriko Akatsuka (ed.), *Japanese/Korean Linguistics*, Vol. 7. Stanford, CSLI Publications, 243–255.
- Miyagawa, Shigeru. 2008a. Genitive subjects in Altaic. In *Proceedings of WAFL 4*, Cambridge, MA. MIT Working Papers in Linguistics.
- Miyagawa, Shigeru. 2008b. Structures that license genitive subjects in Altaic. Ms. MIT.
- Nordlinger, Rachel. 1998. Constructive Case: Evidence from Australian Languages. Stanford, Dissertations in Linguistics, CSLI Publications.
- Polinsky, Maria, and Eric Potsdam. 2002a. Backward control. Linguistic Inquiry 33, 245-282.
- Polinsky, Maria, and Eric Potsdam. 2002b. Backward control: Evidence from Malagasy. In Andrea Rackowski and Norvin Richards (eds.), *Proceedings of AFLA VIII*. (MIT Working Papers in Linguistics Vol. 44), Dept. of Linguistics, MIT, 257–272.
- Polinsky, Maria, and Eric Potsdam. 2006. Expanding the scope of control and raising. *Syntax* 9, 171–192.

- Sells, Peter. 1995. Korean and Japanese morphology from a lexical perspective. *Linguistic Inquiry* 26, 277–325.
- Sells, Peter. 2000. Postposing in Japanese. In *Selected Papers from AILA'99 Tokyo*. Tokyo, Waseda University Press, 427–442.
- Sells, Peter. 2006. Using subsumption rather than equality in functional control. In Miriam Butt and Tracy Holloway King (eds.), *Online Proceedings of the LFG-06 Conference*, Stanford. CSLI Publications, 455–473.
- Shibatani, Masayoshi. 2008. Recursion and nominalization. Handout, 12th Biennial Rice University Symposium on Language.
- Sjoberg, Andrée F. 1963. Uzbek Structural Grammar (Uralic and Altaic Series Vol. 18.). Bloomington, Indiana University Press.
- Spencer, Andrew, and Ryo Otoguro. 2005. Limits to case A critical survey of the notion. In Mengistu Amberber and Helen de Hoop (eds.), *Competition and Variation in Natural Languages: The Case for Case*. Amsterdam, Elsevier, 119–145.
- Tuller, Laurice. 1984. Genitive case in Toba Batak. In Paul Schachter (ed.), *Studies in the Structure of Toba Batak*. UCLA Occasional Papers in Linguistics Number 5, 172–194.
- Ueda, Akiko Watanabe. 1966. *The Adnominal Modication in Japanese*. Doctoral dissertation, University of Texas, Austin.
- Watanabe, Akira. 1996. Nominative-genitive conversion and agreement in Japanese: A crosslinguistic perspective. *Journal of East Asian Linguistics* 5, 373–410.
- Watanabe, Kilyoung. 1972. Nominative-Genitive conversion revisited. In George Bedell (ed.), Studies in East Asian Syntax. UCLA Working Papers in Linguistics, 87–132.

sells@soas.ac.uk